

Department of Veterans Affairs

§ 42.2

APPENDIX B TO PART 41—OMB CIRCULAR A-133 COMPLIANCE SUPPLEMENT

NOTE: OMB Circular A-133 Compliance is available on the OMB home page at http://www.whitehouse.gov/omb/grants/grants_circulars.html.

PART 42—STANDARDS IMPLEMENTING THE PROGRAM FRAUD CIVIL REMEDIES ACT

Sec.

- 42.1 Basis and purpose.
- 42.2 Definitions.
- 42.3 Basis for civil penalties and assessments.
- 42.4 Investigation.
- 42.5 Review by the reviewing official.
- 42.6 Prerequisites for issuing a complaint.
- 42.7 Complaint.
- 42.8 Service of complaint.
- 42.9 Answer.
- 42.10 Default upon failure to file an answer.
- 42.11 Referral of complaint and answer to the Administrative Law Judge (ALJ).
- 42.12 Notice of hearing.
- 42.13 Parties to the hearing.
- 42.14 Separation of functions.
- 42.15 Ex parte contacts.
- 42.16 Disqualification of reviewing official or ALJ.
- 42.17 Rights of parties.
- 42.18 Authority of the ALJ.
- 42.19 Prehearing conferences.
- 42.20 Disclosure of documents.
- 42.21 Discovery.
- 42.22 Exchange of witness lists, statements, and exhibits.
- 42.23 Subpoenas for attendance at hearing.
- 42.24 Protective order.
- 42.25 Fees.
- 42.26 Form, filing and service of papers.
- 42.27 Computation of time.
- 42.28 Motions.
- 42.29 Sanctions.
- 42.30 The hearing and burden of proof.
- 42.31 Determining the amount of penalties and assessments.
- 42.32 Location of hearing.
- 42.33 Witnesses.
- 42.34 Evidence.
- 42.35 The record.
- 42.36 Post-hearing briefs.
- 42.37 Initial decision.
- 42.38 Reconsideration of initial decision.
- 42.39 Appeal to the Secretary of Veterans Affairs.
- 42.40 Stays ordered by the Department of Justice.
- 42.41 Stay pending appeal.
- 42.42 Judicial review.
- 42.43 Collection of civil penalties and assessments.

- 42.44 Right to administrative offset.
- 42.45 Deposit in Treasury of United States.
- 42.46 Compromise and settlement.
- 42.47 Limitations.

AUTHORITY: Pub. L. 99-509, secs. 6101-6104, 100 Stat. 1874, to be codified at 31 U.S.C. 3801-3812.

SOURCE: 53 FR 16710, May 11, 1988, unless otherwise noted.

§ 42.1 Basis and purpose.

(a) *Basis.* This part implements the Program Fraud Civil Remedies Act of 1986, Pub. L. 99-509, 6101-6104, 100 Stat. 1874 (October 21, 1986), to be codified at 31 U.S.C. 3801-3812. Section 3809 of title 31 U.S.C., requires each authority head, such as the Secretary of Veterans Affairs, to promulgate regulations necessary to implement the provisions of the statute.

(b) *Purpose.* This part:

(1) Establishes and provides the only administrative procedures and actions for imposing civil penalties and assessments against persons who make, submit, or present, or cause to be made, submitted, or presented, false, fictitious, or fraudulent claims or written statements to authorities or to their agents, and

(2) Specifies the hearing and appeal rights of persons subject to allegations of liability for such penalties and assessments.

§ 42.2 Definitions.

For the purposes of this part, the following definitions apply:

ALJ means an Administrative Law Judge in the Department of Veterans Affairs pursuant to 5 U.S.C. 3105 or detailed to the Department of Veterans Affairs pursuant to 5 U.S.C. 3344.

Benefit means, in the context of statement, anything of value, including, but not limited to, any advantage, preference, privilege, license, permit, favorable decision, ruling, status, or loan guarantee.

Claim means any request, demand, or submission—

(a) Made to the Department of Veterans Affairs for property, services, or money (including money representing grants, loans, insurance, or benefits);

(b) Made to a recipient of property, services, or money from the Department of Veterans Affairs or to a party